

## 1.1 PARABOLAS

### ■ Geometric Definition of a Parabola ■ Equations and Graphs of Parabolas ■ Applications

#### ■ Geometric Definition of a Parabola

The equation

$$y = ax^2 + bx + c$$

is a U-shaped curve called a parabola that opens either upward or downward, depending on whether the number  $a$  is positive or negative.

In this section we study parabolas from a geometric, rather than an algebraic, point of view. We begin with the geometric definition of a parabola and show how this leads to the algebraic formula that we are already familiar with.

#### GEOMETRIC DEFINITION OF A PARABOLA

A **parabola** is the set of all points in the plane that are equidistant from a fixed point  $F$  (called the **focus**) and a fixed line  $l$  (called the **directrix**).

This definition is illustrated in Figure 1. The **vertex**  $V$  of the parabola lies halfway between the focus and the directrix, and the **axis of symmetry** is the line that runs through the focus perpendicular to the directrix.

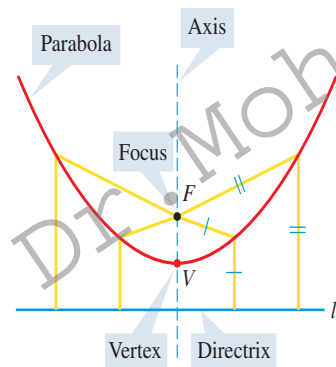


FIGURE 1

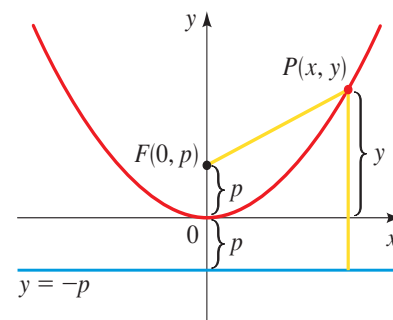


FIGURE 2

**Deriving the Equation of a Parabola** If  $P(x, y)$  is any point on the parabola, then the distance from  $P$  to the focus  $F$  (using the Distance Formula) is

$$\sqrt{x^2 + (y - p)^2}$$

The distance from  $P$  to the directrix is

$$|y - (-p)| = |y + p|$$

By the definition of a parabola these two distances must be equal.

$$\begin{aligned}\sqrt{x^2 + (y - p)^2} &= |y + p| \\ x^2 + (y - p)^2 &= |y + p|^2 = (y + p)^2 && \text{Square both sides} \\ x^2 + y^2 - 2py + p^2 &= y^2 + 2py + p^2 && \text{Expand} \\ x^2 - 2py &= 2py && \text{Simplify} \\ x^2 &= 4py\end{aligned}$$

If  $p > 0$ , then the parabola opens upward; but if  $p < 0$ , it opens downward. When  $x$  is replaced by  $-x$ , the equation remains unchanged, so the graph is symmetric about the  $y$ -axis.

## ■ Equations and Graphs of Parabolas

The following box summarizes what we have just proved about the equation and features of a parabola with a vertical axis.

**PARABOLA WITH VERTICAL AXIS**

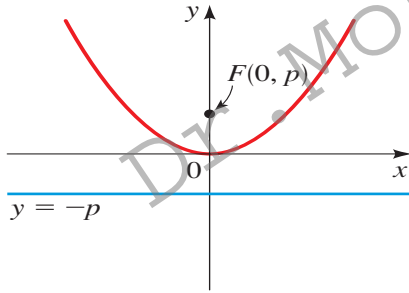
The graph of the equation

$$x^2 = 4py$$

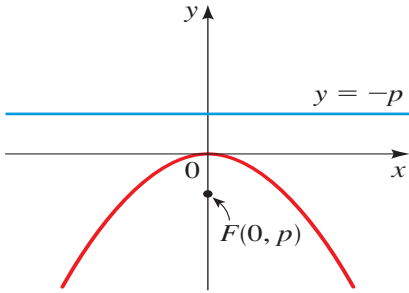
is a parabola with the following properties.

<b>VERTEX</b>	$V(0, 0)$
<b>FOCUS</b>	$F(0, p)$
<b>DIRECTRIX</b>	$y = -p$

The parabola opens upward if  $p > 0$  or downward if  $p < 0$ .



$x^2 = 4py$  with  $p > 0$



$x^2 = 4py$  with  $p < 0$

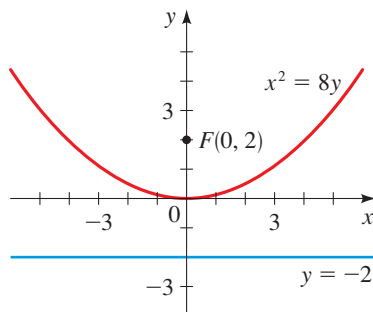


FIGURE 3

### EXAMPLE 1 ■ Finding the Equation of a Parabola

Find an equation for the parabola with vertex  $V(0, 0)$  and focus  $F(0, 2)$ , and sketch its graph.

**SOLUTION** Since the focus is  $F(0, 2)$ , we conclude that  $p = 2$  (so the directrix is  $y = -2$ ). Thus the equation of the parabola is

$$\begin{aligned}x^2 &= 4(2)y && x^2 = 4py \text{ with } p = 2 \\ x^2 &= 8y\end{aligned}$$

Since  $p = 2 > 0$ , the parabola opens upward. See Figure 3.

## EXAMPLE 2 ■ Finding the Focus and Directrix of a Parabola from Its Equation

Find the focus and directrix of the parabola  $y = -x^2$ , and sketch the graph.

**SOLUTION** To find the focus and directrix, we put the given equation in the standard form  $x^2 = -y$ . Comparing this to the general equation  $x^2 = 4py$ , we see that  $4p = -1$ , so  $p = -\frac{1}{4}$ . Thus the focus is  $F(0, -\frac{1}{4})$ , and the directrix is  $y = \frac{1}{4}$ . The graph of the parabola, together with the focus and the directrix, is shown in Figure 4(a). We can also draw the graph using a graphing calculator as shown in Figure 4(b).

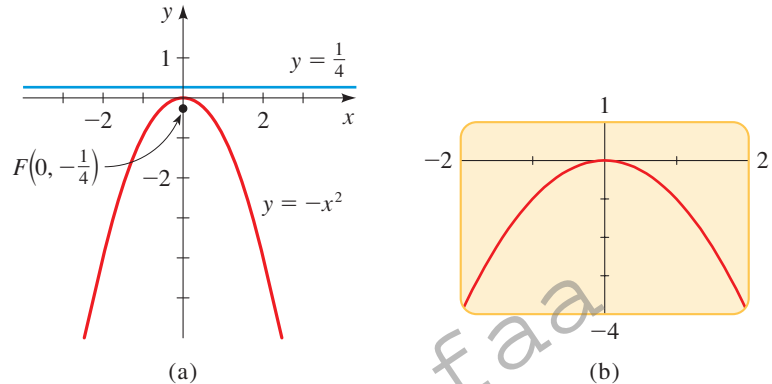


FIGURE 4

Reflecting the graph in Figure 2 about the diagonal line  $y = x$  has the effect of inter-changing the roles of  $x$  and  $y$ . This results in a parabola with horizontal axis. By the same method as before, we can prove the following properties.

### PARABOLA WITH HORIZONTAL AXIS

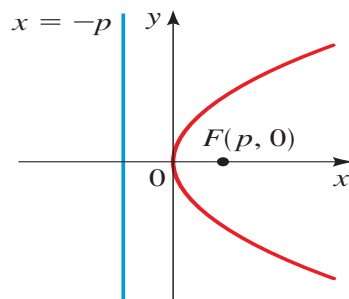
The graph of the equation

$$y^2 = 4px$$

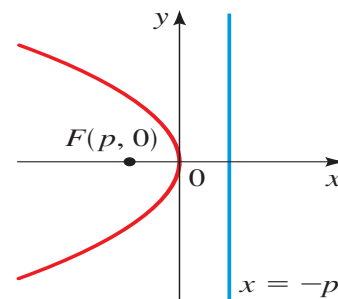
is a parabola with the following properties.

<b>VERTEX</b>	$V(0, 0)$
<b>FOCUS</b>	$F(p, 0)$
<b>DIRECTRIX</b>	$x = -p$

The parabola opens to the right if  $p > 0$  or to the left if  $p < 0$ .



$$y^2 = 4px \text{ with } p > 0$$



$$y^2 = 4px \text{ with } p < 0$$

**EXAMPLE 3 ■ A Parabola with Horizontal Axis**

A parabola has the equation  $6x + y^2 = 0$ .

- (a) Find the focus and directrix of the parabola, and sketch the graph.  
 (b) Use a graphing calculator to draw the graph.

**SOLUTION**

- (a) To find the focus and directrix, we put the given equation in the standard form  $y^2 = -6x$ . Comparing this to the general equation  $y^2 = 4px$ , we see that  $4p = -6$ , so  $p = -\frac{3}{2}$ . Thus the focus is  $F(-\frac{3}{2}, 0)$ , and the directrix is  $x = \frac{3}{2}$ . Since  $p < 0$ , the parabola opens to the left. The graph of the parabola, together with the focus and the directrix, is shown in Figure 5(a).  
 (b) To draw the graph using a graphing calculator, we need to solve for  $y$ .

$$\begin{aligned} 6x + y^2 &= 0 \\ y^2 &= -6x && \text{Subtract } 6x \\ y &= \pm\sqrt{-6x} && \text{Take square roots} \end{aligned}$$

To obtain the graph of the parabola, we graph both functions

$$y = \sqrt{-6x} \quad \text{and} \quad y = -\sqrt{-6x}$$

as shown in Figure 5(b).

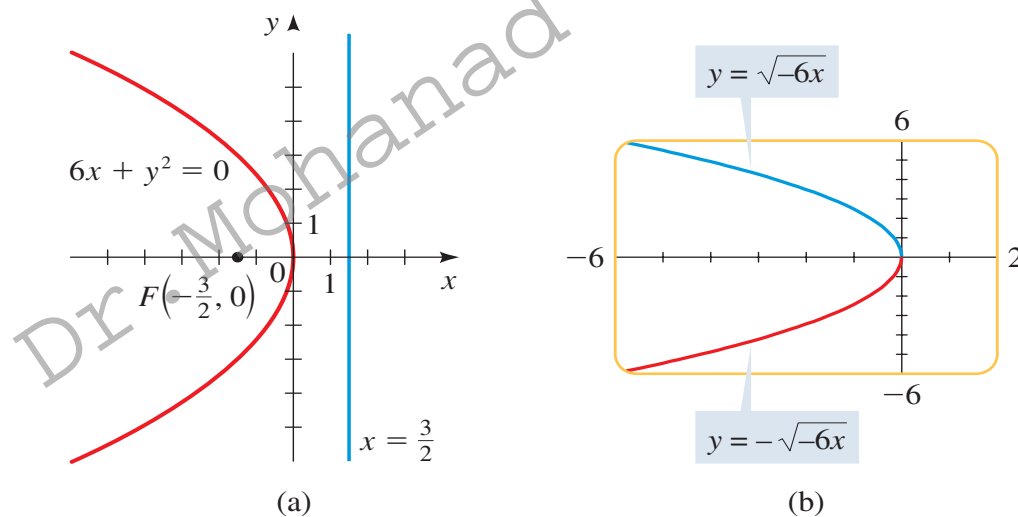


FIGURE 5

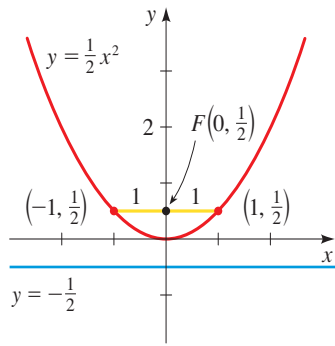


FIGURE 7

**EXAMPLE 4 ■ The Focal Diameter of a Parabola**

Find the focus, directrix, and focal diameter of the parabola  $y = \frac{1}{2}x^2$ , and sketch its graph.

**SOLUTION** We first put the equation in the form  $x^2 = 4py$ .

$$y = \frac{1}{2}x^2$$

$$x^2 = 2y \quad \text{Multiply by 2, switch sides}$$

From this equation we see that  $4p = 2$ , so the focal diameter is 2. Solving for  $p$  gives  $p = \frac{1}{2}$ , so the focus is  $(0, \frac{1}{2})$ , and the directrix is  $y = -\frac{1}{2}$ . Since the focal diameter is 2, the latus rectum extends 1 unit to the left and 1 unit to the right of the focus. The graph is sketched in Figure 7.

In the next example we graph a family of parabolas to show how changing the distance between the focus and the vertex affects the “width” of a parabola.

**EXAMPLE 5 ■ A Family of Parabolas**

- (a) Find equations for the parabolas with vertex at the origin and foci  $F_1(0, \frac{1}{8})$ ,  $F_2(0, \frac{1}{2})$ ,  $F_3(0, 1)$ , and  $F_4(0, 4)$ .
- (b) Draw the graphs of the parabolas in part (a). What do you conclude?

**SOLUTION**

- (a) Since the foci are on the positive  $y$ -axis, the parabolas open upward and have equations of the form  $x^2 = 4py$ . This leads to the following equations.

Focus	$p$	Equation $x^2 = 4py$	Form of the equation for graphing calculator
$F_1(0, \frac{1}{8})$	$p = \frac{1}{8}$	$x^2 = \frac{1}{2}y$	$y = 2x^2$
$F_2(0, \frac{1}{2})$	$p = \frac{1}{2}$	$x^2 = 2y$	$y = 0.5x^2$
$F_3(0, 1)$	$p = 1$	$x^2 = 4y$	$y = 0.25x^2$
$F_4(0, 4)$	$p = 4$	$x^2 = 16y$	$y = 0.0625x^2$

- (b) The graphs are drawn in Figure 8. We see that the closer the focus is to the vertex, the narrower the parabola.

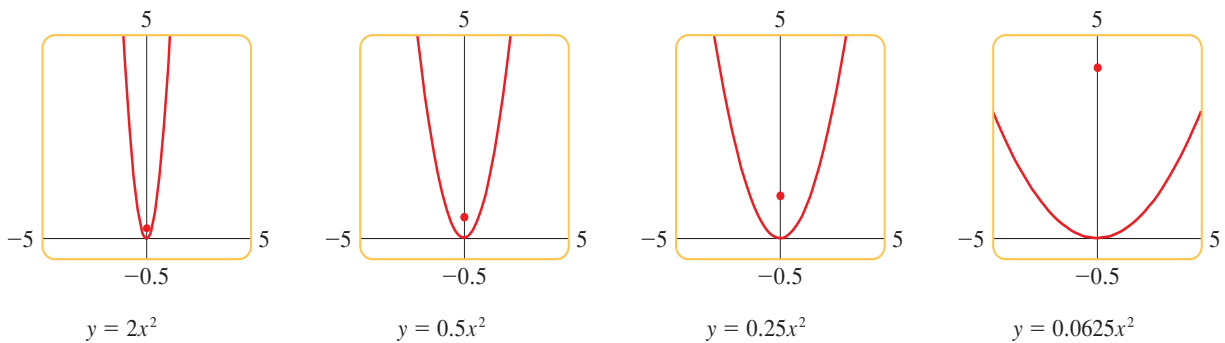


FIGURE 8 A family of parabolas

## 1.2 ELLIPSES

- Geometric Definition of an Ellipse ■ Equations and Graphs of Ellipses
- Eccentricity of an Ellipse

### ■ Geometric Definition of an Ellipse

An ellipse is an oval curve that looks like an elongated circle. More precisely, we have the following definition.

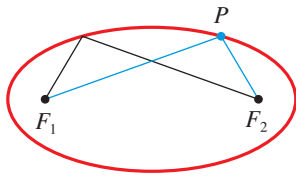


FIGURE 1

#### GEOMETRIC DEFINITION OF AN ELLIPSE

An **ellipse** is the set of all points in the plane the sum of whose distances from two fixed points  $F_1$  and  $F_2$  is a constant. (See Figure 1.) These two fixed points are the **foci** (plural of **focus**) of the ellipse.

The geometric definition suggests a simple method for drawing an ellipse. Place a sheet of paper on a drawing board, and insert thumbtacks at the two points that are to be the foci of the ellipse. Attach the ends of a string to the tacks, as shown in Figure 2(a). With the point of a pencil, hold the string taut. Then carefully move the pencil around the foci, keeping the string taut at all times. The pencil will trace out an ellipse, because the sum of the distances from the point of the pencil to the foci will always equal the length of the string, which is constant.

If the string is only slightly longer than the distance between the foci, then the ellipse that is traced out will be elongated in shape, as in Figure 2(a), but if the foci are close together relative to the length of the string, the ellipse will be almost circular, as shown in Figure 2(b).

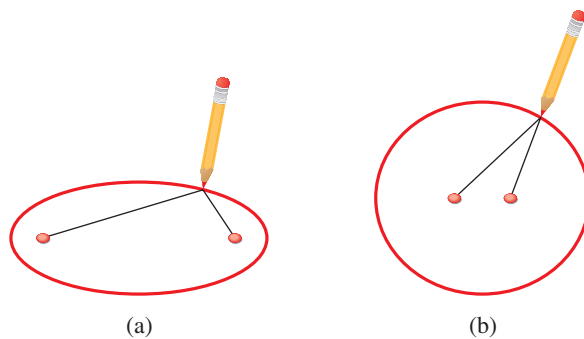


FIGURE 2

place the foci on the  $x$ -axis at  $F_1(-c, 0)$  and  $F_2(c, 0)$  so that the origin is halfway be-

**Deriving the Equation of an Ellipse** To obtain the simplest equation for an ellipse, we

tween them (see Figure 3).

For later convenience we let the sum of the distances from a point on the ellipse to the foci be  $2a$ . Then if  $P(x, y)$  is any point on the ellipse, we have

$$d(P, F_1) + d(P, F_2) = 2a$$

So from the Distance Formula we have

$$\sqrt{(x + c)^2 + y^2} + \sqrt{(x - c)^2 + y^2} = 2a$$

or 
$$\sqrt{(x - c)^2 + y^2} = 2a - \sqrt{(x + c)^2 + y^2}$$

Squaring each side and expanding, we get

$$x^2 - 2cx + c^2 + y^2 = 4a^2 - 4a\sqrt{(x + c)^2 + y^2} + (x^2 + 2cx + c^2 + y^2)$$

which simplifies to

$$4a\sqrt{(x + c)^2 + y^2} = 4a^2 + 4cx$$

Dividing each side by 4 and squaring again, we get

$$a^2[(x + c)^2 + y^2] = (a^2 + cx)^2$$

$$a^2x^2 + 2a^2cx + a^2c^2 + a^2y^2 = a^4 + 2a^2cx + c^2x^2$$

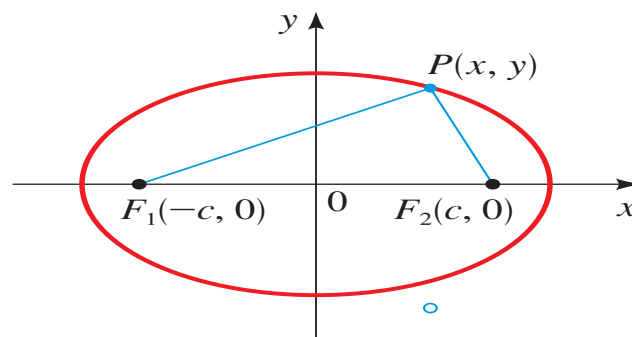
$$(a^2 - c^2)x^2 + a^2y^2 = a^2(a^2 - c^2)$$

Since the sum of the distances from  $P$  to the foci must be larger than the distance between the foci, we have that  $2a > 2c$ , or  $a > c$ . Thus  $a^2 - c^2 > 0$ , and we can divide each side of the preceding equation by  $a^2(a^2 - c^2)$  to get

$$\frac{x^2}{a^2} + \frac{y^2}{a^2 - c^2} = 1$$

For convenience let  $b^2 = a^2 - c^2$  (with  $b > 0$ ). Since  $b^2 < a^2$ , it follows that  $b < a$ . The preceding equation then becomes

$$\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1 \quad a > b$$



**FIGURE 3**

This is the equation of the ellipse. To graph it, we need to know the  $x$ - and  $y$ -intercepts. Setting  $y = 0$ , we get

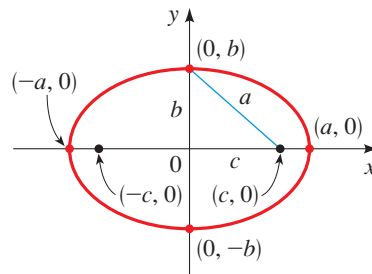
$$\frac{x^2}{a^2} = 1$$

so  $x^2 = a^2$ , or  $x = \pm a$ . Thus the ellipse crosses the  $x$ -axis at  $(a, 0)$  and  $(-a, 0)$ , as in Figure 4. These points are called the **vertices** of the ellipse, and the segment that joins them is called the **major axis**. Its length is  $2a$ .

If  $a = b$  in the equation of an ellipse, then

$$\frac{x^2}{a^2} + \frac{y^2}{a^2} = 1$$

so  $x^2 + y^2 = a^2$ . This shows that in this case the “ellipse” is a circle with radius  $a$ .



**FIGURE 4**  
 $\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1$  with  $a > b$

Similarly, if we set  $x = 0$ , we get  $y = \pm b$ , so the ellipse crosses the  $y$ -axis at  $(0, b)$  and  $(0, -b)$ . The segment that joins these points is called the **minor axis**, and it has length  $2b$ . Note that  $2a > 2b$ , so the major axis is longer than the minor axis. The origin is the **center** of the ellipse.

If the foci of the ellipse are placed on the  $y$ -axis at  $(0, \pm c)$  rather than on the  $x$ -axis, then the roles of  $x$  and  $y$  are reversed in the preceding discussion, and we get a vertical ellipse.

## ■ Equations and Graphs of Ellipses

The following box summarizes what we have just proved about ellipses centered at the origin.

### ELLIPSE WITH CENTER AT THE ORIGIN

The graph of each of the following equations is an ellipse with center at the origin and having the given properties.

<b>EQUATION</b>	$\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1$ $a > b > 0$	$\frac{x^2}{b^2} + \frac{y^2}{a^2} = 1$ $a > b > 0$
<b>VERTICES</b>	$(\pm a, 0)$	$(0, \pm a)$
<b>MAJOR AXIS</b>	Horizontal, length $2a$	Vertical, length $2a$
<b>MINOR AXIS</b>	Vertical, length $2b$	Horizontal, length $2b$
<b>FOCI</b>	$(\pm c, 0)$ , $c^2 = a^2 - b^2$	$(0, \pm c)$ , $c^2 = a^2 - b^2$
<b>GRAPH</b>		

**EXAMPLE 1 ■ Sketching an Ellipse**

An ellipse has the equation

$$\frac{x^2}{9} + \frac{y^2}{4} = 1$$

- (a) Find the foci, the vertices, and the lengths of the major and minor axes, and sketch the graph.
- (b) Draw the graph using a graphing calculator.

**SOLUTION**

- (a) Since the denominator of  $x^2$  is larger, the ellipse has a horizontal major axis. This gives  $a^2 = 9$  and  $b^2 = 4$ , so  $c^2 = a^2 - b^2 = 9 - 4 = 5$ . Thus  $a = 3$ ,  $b = 2$ , and  $c = \sqrt{5}$ .

<b>FOCI</b>	$(\pm\sqrt{5}, 0)$
<b>VERTICES</b>	$(\pm 3, 0)$
<b>LENGTH OF MAJOR AXIS</b>	6
<b>LENGTH OF MINOR AXIS</b>	4

The graph is shown in Figure 5(a).

- (b) To draw the graph using a graphing calculator, we need to solve for  $y$ .

$$\frac{x^2}{9} + \frac{y^2}{4} = 1$$

$$\frac{y^2}{4} = 1 - \frac{x^2}{9} \quad \text{Subtract } \frac{x^2}{9}$$

$$y^2 = 4\left(1 - \frac{x^2}{9}\right) \quad \text{Multiply by 4}$$

$$y = \pm 2\sqrt{1 - \frac{x^2}{9}} \quad \text{Take square roots}$$

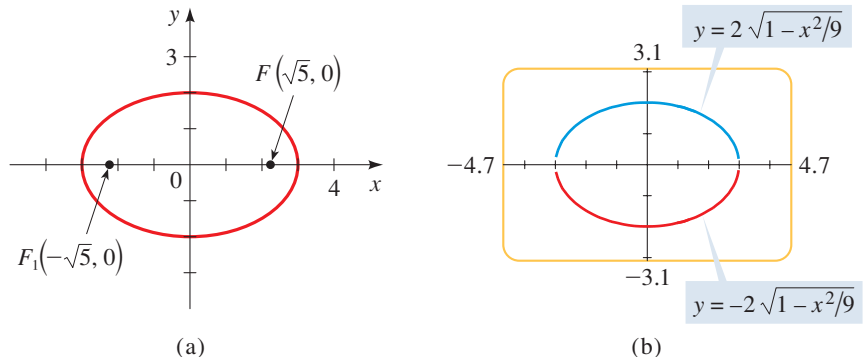
To obtain the graph of the ellipse, we graph both functions

$$y = 2\sqrt{1 - \frac{x^2}{9}} \quad \text{and} \quad y = -2\sqrt{1 - \frac{x^2}{9}}$$

as shown in Figure 5(b).

Note that the equation of an ellipse does not define  $y$  as a function of  $x$ . That's why we need to graph two functions to graph an ellipse.

**FIGURE 5**  
 $\frac{x^2}{9} + \frac{y^2}{4} = 1$



**EXAMPLE 2 ■ Finding the Foci of an Ellipse**

Find the foci of the ellipse  $16x^2 + 9y^2 = 144$ , and sketch its graph.

**SOLUTION** First we put the equation in standard form. Dividing by 144, we get

CHAPTER 1 ■ Conic Sections

$$\frac{x^2}{9} + \frac{y^2}{16} = 1$$

Since  $16 > 9$ , this is an ellipse with its foci on the  $y$ -axis and with  $a = 4$  and  $b = 3$ . We have

$$c^2 = a^2 - b^2 = 16 - 9 = 7$$

$$c = \sqrt{7}$$

Thus the foci are  $(0, \pm\sqrt{7})$ . The graph is shown in Figure 6(a).

We can also draw the graph using a graphing calculator as shown in Figure 6(b).

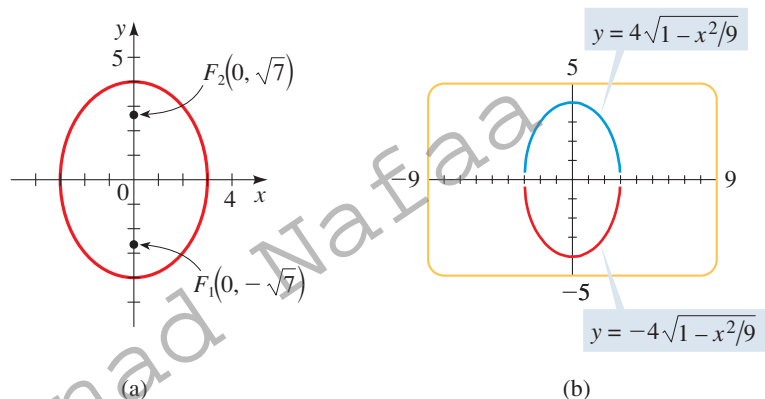


FIGURE 6  
 $16x^2 + 9y^2 = 144$

**EXAMPLE 3 ■ Finding the Equation of an Ellipse**

The vertices of an ellipse are  $(\pm 4, 0)$ , and the foci are  $(\pm 2, 0)$ . Find its equation, and sketch the graph.

**SOLUTION** Since the vertices are  $(\pm 4, 0)$ , we have  $a = 4$ , and the major axis is horizontal. The foci are  $(\pm 2, 0)$ , so  $c = 2$ . To write the equation, we need to find  $b$ . Since  $c^2 = a^2 - b^2$ , we have

$$2^2 = 4^2 - b^2$$

$$b^2 = 16 - 4 = 12$$

Thus the equation of the ellipse is

$$\frac{x^2}{16} + \frac{y^2}{12} = 1$$

The graph is shown in Figure 7.

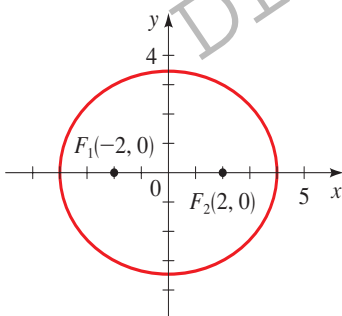


FIGURE 7  
 $\frac{x^2}{16} + \frac{y^2}{12} = 1$

**■ Eccentricity of an Ellipse**

We saw earlier in this section (Figure 2) that if  $2a$  is only slightly greater than  $2c$ , the ellipse is long and thin, whereas if  $2a$  is much greater than  $2c$ , the ellipse is almost circular. We measure the deviation of an ellipse from being circular by the ratio of  $a$  and  $c$ .

**DEFINITION OF ECCENTRICITY**

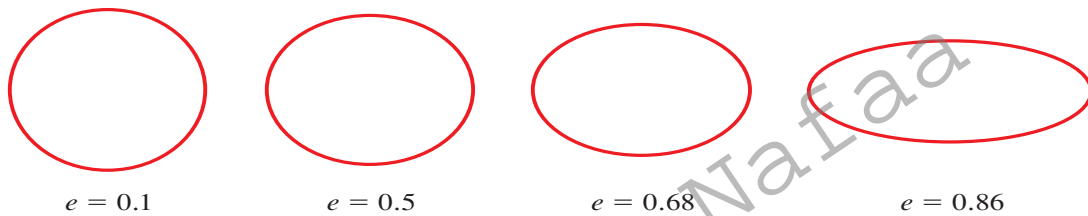
For the ellipse  $\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1$  or  $\frac{x^2}{b^2} + \frac{y^2}{a^2} = 1$  (with  $a > b > 0$ ), the **eccentricity**  $e$  is the number

$$e = \frac{c}{a}$$

where  $c = \sqrt{a^2 - b^2}$ . The eccentricity of every ellipse satisfies  $0 < e < 1$ .

Thus if  $e$  is close to 1, then  $c$  is almost equal to  $a$ , and the ellipse is elongated in shape, but if  $e$  is close to 0, then the ellipse is close to a circle in shape. The eccentricity is a measure of how “stretched” the ellipse is.

In Figure 8 we show a number of ellipses to demonstrate the effect of varying the eccentricity  $e$ .



**FIGURE 8** Ellipses with various eccentricities

**EXAMPLE 4** Finding the Equation of an Ellipse from Its Eccentricity and Foci

Find the equation of the ellipse with foci  $(0, \pm 8)$  and eccentricity  $e = \frac{4}{5}$ , and sketch its graph.

**SOLUTION** We are given  $e = \frac{4}{5}$  and  $c = 8$ . Thus

$$\frac{4}{5} = \frac{8}{a} \quad \text{Eccentricity } e = \frac{c}{a}$$

$$4a = 40 \quad \text{Cross-multiply}$$

$$a = 10$$

To find  $b$ , we use the fact that  $c^2 = a^2 - b^2$ .

$$8^2 = 10^2 - b^2$$

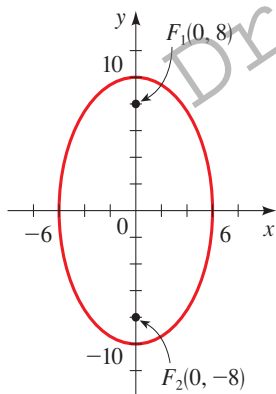
$$b^2 = 10^2 - 8^2 = 36$$

$$b = 6$$

Thus the equation of the ellipse is

$$\frac{x^2}{36} + \frac{y^2}{100} = 1$$

Because the foci are on the  $y$ -axis, the ellipse is oriented vertically. To sketch the ellipse, we find the intercepts. The  $x$ -intercepts are  $\pm 6$ , and the  $y$ -intercepts are  $\pm 10$ . The graph is sketched in Figure 9.



**FIGURE 9**

$$\frac{x^2}{36} + \frac{y^2}{100} = 1$$

## 1.3 HYPERBOLAS

### ■ Geometric Definition of a Hyperbola ■ Equations and Graphs of Hyperbolas

#### ■ Geometric Definition of a Hyperbola

Although ellipses and hyperbolas have completely different shapes, their definitions and equations are similar. Instead of using the *sum* of distances from two fixed foci, as in the case of an ellipse, we use the *difference* to define a hyperbola.

#### GEOMETRIC DEFINITION OF A HYPERBOLA

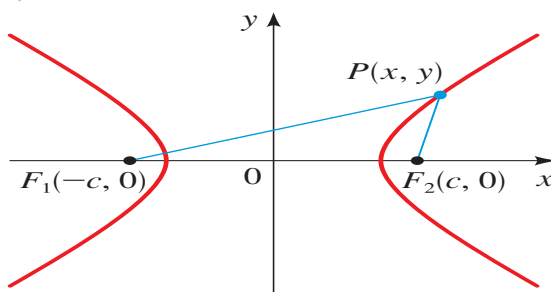
A **hyperbola** is the set of all points in the plane, the difference of whose distances from two fixed points  $F_1$  and  $F_2$  is a constant. (See Figure 1.) These two fixed points are the **foci** of the hyperbola.

**Deriving the Equation of a Hyperbola** As in the case of the ellipse, we get the simplest equation for the hyperbola by placing the foci on the  $x$ -axis at  $(\pm c, 0)$ , as shown in Figure 1. By definition, if  $P(x, y)$  lies on the hyperbola, then either  $d(P, F_1) - d(P, F_2)$  or  $d(P, F_2) - d(P, F_1)$  must equal some positive constant, which we call  $2a$ . Thus we have

$$d(P, F_1) - d(P, F_2) = \pm 2a$$

or

$$\sqrt{(x+c)^2 + y^2} - \sqrt{(x-c)^2 + y^2} = \pm 2a$$



**FIGURE 1**  $P$  is on the hyperbola if  $|d(P, F_1) - d(P, F_2)| = 2a$ .

Proceeding as we did in the case of the ellipse (Section 1.2), we simplify this to

$$(c^2 - a^2)x^2 - a^2y^2 = a^2(c^2 - a^2)$$

From triangle  $PF_1F_2$  in Figure 1 we see that  $|d(P, F_1) - d(P, F_2)| < 2c$ . It follows that  $2a < 2c$ , or  $a < c$ . Thus  $c^2 - a^2 > 0$ , so we can set  $b^2 = c^2 - a^2$ . We then simplify the last displayed equation to get

$$\frac{x^2}{a^2} - \frac{y^2}{b^2} = 1$$

This is the *equation of the hyperbola*. If we replace  $x$  by  $-x$  or  $y$  by  $-y$  in this equation, it remains unchanged, so the hyperbola is symmetric about both the  $x$ - and  $y$ -axes and

about the origin. The  $x$ -intercepts are  $\pm a$ , and the points  $(a, 0)$  and  $(-a, 0)$  are

**vertices** of the hyperbola. There is no  $y$ -intercept, because setting  $x = 0$  in the equation of the hyperbola leads to  $-y^2 = b^2$ , which has no real solution. Furthermore, the equation of the hyperbola implies that the

$$\frac{x^2}{a^2} = \frac{y^2}{b^2} + 1 \geq 1$$

so  $x^2/a^2 \geq 1$ ; thus  $x^2 \geq a^2$ , and hence  $x \geq a$  or  $x \leq -a$ . This means that the hyperbola consists of two parts, called its **branches**. The segment joining the two vertices on the separate branches is the **transverse axis** of the hyperbola, and the origin is called its **center**.

If we place the foci of the hyperbola on the  $y$ -axis rather than on the  $x$ -axis, this has the effect of reversing the roles of  $x$  and  $y$  in the derivation of the equation of the hyperbola. This leads to a hyperbola with a vertical transverse axis.

## ■ Equations and Graphs of Hyperbolas

The main properties of hyperbolas are listed in the following box.

HYPERBOLA WITH CENTER AT THE ORIGIN		
The graph of each of the following equations is a hyperbola with center at the origin and having the given properties.		
<b>EQUATION</b>	$\frac{x^2}{a^2} - \frac{y^2}{b^2} = 1 \quad a > 0, b > 0$	$\frac{y^2}{a^2} - \frac{x^2}{b^2} = 1 \quad a > 0, b > 0$
<b>VERTICES</b>	$(\pm a, 0)$	$(0, \pm a)$
<b>TRANSVERSE AXIS</b>	Horizontal, length $2a$	Vertical, length $2a$
<b>ASYMPTOTES</b>	$y = \pm \frac{b}{a}x$	$y = \pm \frac{a}{b}x$
<b>FOCI</b>	$(\pm c, 0), \quad c^2 = a^2 + b^2$	$(0, \pm c), \quad c^2 = a^2 + b^2$
<b>GRAPH</b>		

The *asymptotes* mentioned in this box are lines that the hyperbola approaches for large values of  $x$  and  $y$ . To find the asymptotes in the first case in the box, we solve the equation for  $y$  to get

$$\begin{aligned} y &= \pm \frac{b}{a} \sqrt{x^2 - a^2} \\ &= \pm \frac{b}{a} x \sqrt{1 - \frac{a^2}{x^2}} \end{aligned}$$

As  $x$  gets large,  $a^2/x^2$  gets closer to zero. In other words, as  $x \rightarrow \infty$ , we have  $a^2/x^2 \rightarrow 0$ . So for large  $x$  the value of  $y$  can be approximated as  $y = \pm(b/a)x$ . This shows that these lines are asymptotes of the hyperbola.

Asymptotes are an essential aid for graphing a hyperbola; they help us to determine its shape. A convenient way to find the asymptotes, for a hyperbola with horizontal transverse axis, is to first plot the points  $(a, 0)$ ,  $(-a, 0)$ ,  $(0, b)$ , and  $(0, -b)$ . Then sketch horizontal and vertical segments through these points to construct a rectangle, as shown in Figure 2(a). We call this rectangle the **central box** of the hyperbola. The slopes of the diagonals of the central box are  $\pm b/a$ , so by extending them, we obtain the asymptotes  $y = \pm(b/a)x$ , as sketched in Figure 2(b). Finally, we plot the vertices and use the asymptotes as a guide in sketching the hyperbola shown in Figure 2(c). (A similar procedure applies to graphing a hyperbola that has a vertical transverse axis.)

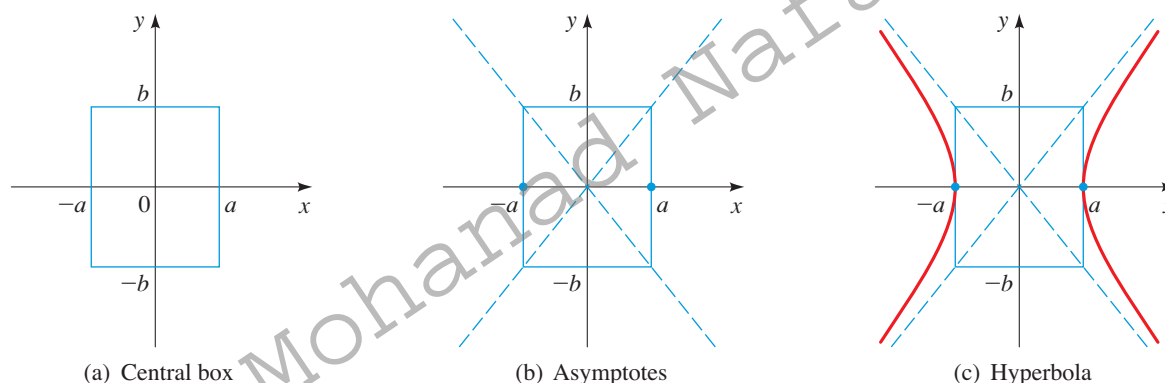


FIGURE 2 Steps in graphing the hyperbola  $\frac{x^2}{a^2} - \frac{y^2}{b^2} = 1$

### HOW TO SKETCH A HYPERBOLA

- Sketch the Central Box.** This is the rectangle centered at the origin, with sides parallel to the axes, that crosses one axis at  $\pm a$  and the other at  $\pm b$ .
- Sketch the Asymptotes.** These are the lines obtained by extending the diagonals of the central box.
- Plot the Vertices.** These are the two  $x$ -intercepts or the two  $y$ -intercepts.
- Sketch the Hyperbola.** Start at a vertex, and sketch a branch of the hyperbola, approaching the asymptotes. Sketch the other branch in the same way.

#### EXAMPLE 1 ■ A Hyperbola with Horizontal Transverse Axis

A hyperbola has the equation

$$9x^2 - 16y^2 = 144$$

- Find the vertices, foci, length of the transverse axis, and asymptotes, and sketch the graph.
- Draw the graph using a graphing calculator.

**SOLUTION**

(a) First we divide both sides of the equation by 144 to put it into standard form:

$$\frac{x^2}{16} - \frac{y^2}{9} = 1$$

Because the  $x^2$ -term is positive, the hyperbola has a horizontal transverse axis; its vertices and foci are on the  $x$ -axis. Since  $a^2 = 16$  and  $b^2 = 9$ , we get  $a = 4$ ,  $b = 3$ , and  $c = \sqrt{16 + 9} = 5$ . Thus we have

<b>VERTICES</b>	$(\pm 4, 0)$
<b>FOCI</b>	$(\pm 5, 0)$
<b>ASYMPTOTES</b>	$y = \pm \frac{3}{4}x$

The length of the transverse axis is  $2a = 8$ . After sketching the central box and asymptotes, we complete the sketch of the hyperbola as in Figure 3(a).

(b) To draw the graph using a graphing calculator, we need to solve for  $y$ .

$$9x^2 - 16y^2 = 144$$

$$-16y^2 = -9x^2 + 144 \quad \text{Subtract } 9x^2$$

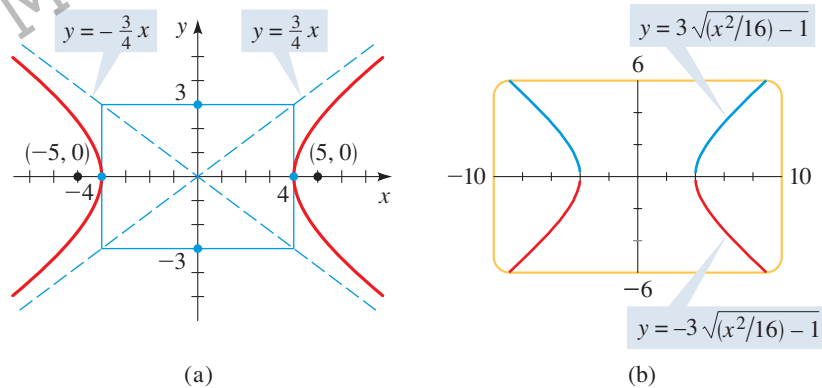
$$y^2 = 9\left(\frac{x^2}{16} - 1\right) \quad \text{Divide by } -16 \text{ and factor } 9$$

$$y = \pm 3\sqrt{\frac{x^2}{16} - 1} \quad \text{Take square roots}$$

To obtain the graph of the hyperbola, we graph the functions

$$y = 3\sqrt{\frac{x^2}{16} - 1} \quad \text{and} \quad y = -3\sqrt{\frac{x^2}{16} - 1}$$

as shown in Figure 3(b).



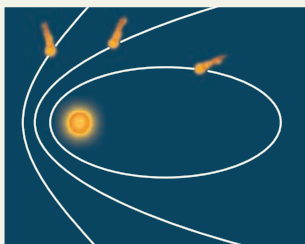
**FIGURE 3**

$$9x^2 - 16y^2 = 144$$

**EXAMPLE 2 ■ A Hyperbola with Vertical Transverse Axis**

Find the vertices, foci, length of the transverse axis, and asymptotes of the hyperbola, and sketch its graph.

$$x^2 - 9y^2 + 9 = 0$$



**Paths of Comets**

The path of a comet is an ellipse, a parabola, or a hyperbola with the sun at a focus. This fact can be proved by using calculus and Newton's Laws of Motion.\* If the path is a parabola or a hyperbola, the comet will never return. If the path is an ellipse, it can be determined precisely when and where the comet can be seen again. Halley's comet has an elliptical path and returns every 75 years; it was last seen in 1987. The brightest comet of the 20th century was comet Hale-Bopp, seen in 1997. Its orbit is a very eccentric ellipse; it is expected to return to the inner solar system around the year 4377.

\*James Stewart, *Calculus*, 7th ed. (Belmont, CA: Brooks/Cole, 2012), pages 892 and 896.

**SOLUTION** We begin by writing the equation in the standard form for a hyperbola:

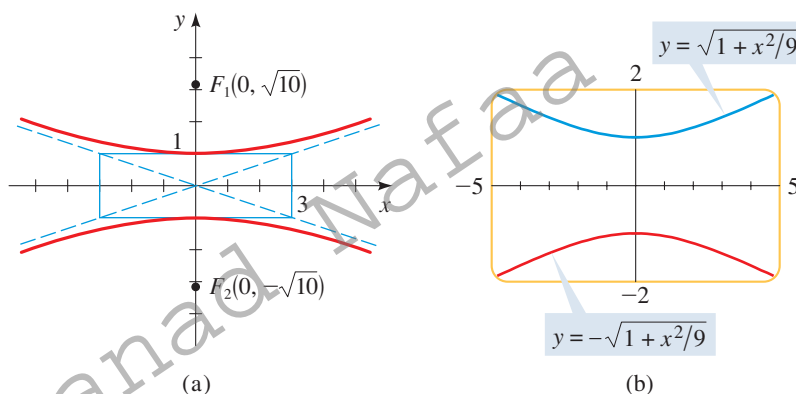
$$x^2 - 9y^2 = -9$$

$$y^2 - \frac{x^2}{9} = 1 \quad \text{Divide by } -9$$

Because the  $y^2$ -term is positive, the hyperbola has a vertical transverse axis; its foci and vertices are on the  $y$ -axis. Since  $a^2 = 1$  and  $b^2 = 9$ , we get  $a = 1$ ,  $b = 3$ , and  $c = \sqrt{1 + 9} = \sqrt{10}$ . Thus we have

<b>VERTICES</b>	$(0, \pm 1)$
<b>FOCI</b>	$(0, \pm \sqrt{10})$
<b>ASYMPTOTES</b>	$y = \pm \frac{1}{3}x$

The length of the transverse axis is  $2a = 2$ . We sketch the central box and asymptotes, then complete the graph, as shown in Figure 4(a). We can also draw the graph using a graphing calculator, as shown in Figure 4(b).



**FIGURE 4**  
 $x^2 - 9y^2 + 9 = 0$

**EXAMPLE 3 ■ Finding the Equation of a Hyperbola from Its Vertices and Foci**

Find the equation of the hyperbola with vertices  $(\pm 3, 0)$  and foci  $(\pm 4, 0)$ . Sketch the graph.

**SOLUTION** Since the vertices are on the  $x$ -axis, the hyperbola has a horizontal transverse axis. Its equation is of the form

$$\frac{x^2}{3^2} - \frac{y^2}{b^2} = 1$$

We have  $a = 3$  and  $c = 4$ . To find  $b$ , we use the relation  $a^2 + b^2 = c^2$ .

$$3^2 + b^2 = 4^2$$

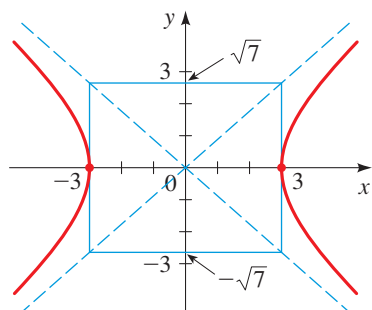
$$b^2 = 4^2 - 3^2 = 7$$

$$b = \sqrt{7}$$

Thus the equation of the hyperbola is

$$\frac{x^2}{9} - \frac{y^2}{7} = 1$$

The graph is shown in Figure 5.



**FIGURE 5**  
 $\frac{x^2}{9} - \frac{y^2}{7} = 1$

## 1.4 SHIFTED CONICS

- Shifting Graphs of Equations ■ Shifted Ellipses ■ Shifted Parabolas
- Shifted Hyperbolas ■ The General Equation of a Shifted Conic

In the preceding sections we studied parabolas with vertices at the origin and ellipses and hyperbolas with centers at the origin. We restricted ourselves to these cases because these equations have the simplest form. In this section we consider conics whose vertices and centers are not necessarily at the origin, and we determine how this affects their equation

### ■ Shifting Graphs of Equations

we studied transformations of functions that have the effect of shifting their graphs. In general, for any equation in  $x$  and  $y$ , if we replace  $x$  by  $x - h$  or by  $x + h$ , the graph of the new equation is simply the old graph shifted horizontally; if  $y$  is replaced by  $y - k$  or by  $y + k$ , the graph is shifted vertically. The following box gives the details.

#### SHIFTING GRAPHS OF EQUATIONS

If  $h$  and  $k$  are positive real numbers, then replacing  $x$  by  $x - h$  or by  $x + h$  and replacing  $y$  by  $y - k$  or by  $y + k$  has the following effect(s) on the graph of any equation in  $x$  and  $y$ .

Replacement	How the graph is shifted
1. $x$ replaced by $x - h$	Right $h$ units
2. $x$ replaced by $x + h$	Left $h$ units
3. $y$ replaced by $y - k$	Upward $k$ units
4. $y$ replaced by $y + k$	Downward $k$ units

### ■ Shifted Ellipses

Let's apply horizontal and vertical shifting to the ellipse with equation

$$\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1$$

whose graph is shown in Figure 1. If we shift it so that its center is at the point  $(h, k)$  instead of at the origin, then its equation becomes

$$\frac{(x - h)^2}{a^2} + \frac{(y - k)^2}{b^2} = 1$$

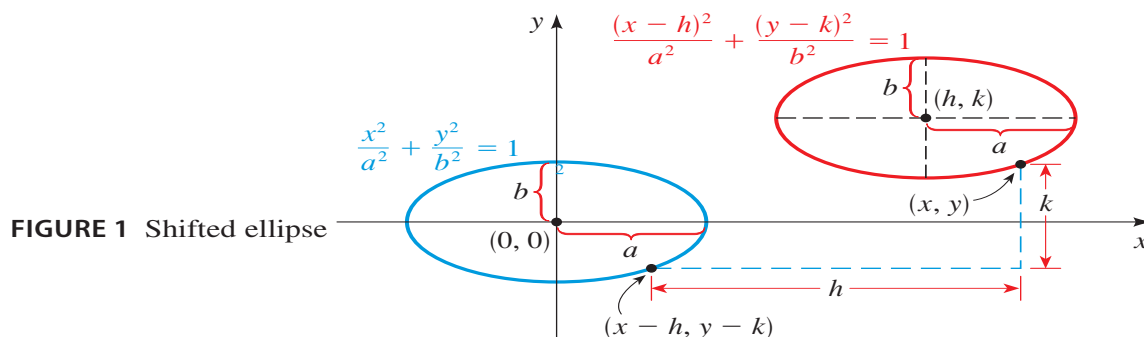


FIGURE 1 Shifted ellipse

**EXAMPLE 1 ■ Sketching the Graph of a Shifted Ellipse**

Sketch a graph of the ellipse

$$\frac{(x + 1)^2}{4} + \frac{(y - 2)^2}{9} = 1$$

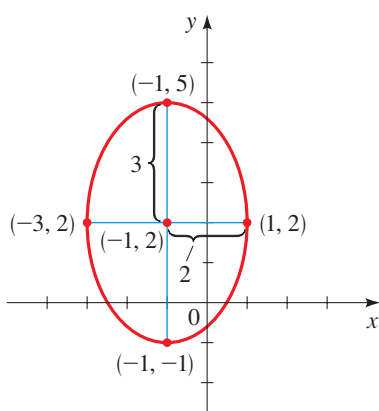
and determine the coordinates of the foci.

**SOLUTION** The ellipse

$$\frac{(x + 1)^2}{4} + \frac{(y - 2)^2}{9} = 1 \quad \text{Shifted ellipse}$$

is shifted so that its center is at  $(-1, 2)$ . It is obtained from the ellipse

$$\frac{x^2}{4} + \frac{y^2}{9} = 1 \quad \text{Ellipse with center at origin}$$



**FIGURE 2**

$$\frac{(x + 1)^2}{4} + \frac{(y - 2)^2}{9} = 1$$

by shifting it left 1 unit and upward 2 units. The endpoints of the minor and major axes of the ellipse with center at the origin are  $(2, 0)$ ,  $(-2, 0)$ ,  $(0, 3)$ ,  $(0, -3)$ . We apply the required shifts to these points to obtain the corresponding points on the shifted ellipse.

$$\begin{aligned} (2, 0) &\rightarrow (2 - 1, 0 + 2) = (1, 2) \\ (-2, 0) &\rightarrow (-2 - 1, 0 + 2) = (-3, 2) \\ (0, 3) &\rightarrow (0 - 1, 3 + 2) = (-1, 5) \\ (0, -3) &\rightarrow (0 - 1, -3 + 2) = (-1, -1) \end{aligned}$$

This helps us sketch the graph in Figure 2.

To find the foci of the shifted ellipse, we first find the foci of the ellipse with center at the origin. Since  $a^2 = 9$  and  $b^2 = 4$ , we have  $c^2 = 9 - 4 = 5$ , so  $c = \sqrt{5}$ . So the foci are  $(0, \pm\sqrt{5})$ . Shifting left 1 unit and upward 2 units, we get

$$\begin{aligned} (0, \sqrt{5}) &\rightarrow (0 - 1, \sqrt{5} + 2) = (-1, 2 + \sqrt{5}) \\ (0, -\sqrt{5}) &\rightarrow (0 - 1, -\sqrt{5} + 2) = (-1, 2 - \sqrt{5}) \end{aligned}$$

Thus the foci of the shifted ellipse are

$$(-1, 2 + \sqrt{5}) \quad \text{and} \quad (-1, 2 - \sqrt{5})$$

**EXAMPLE 2 ■ Finding the Equation of a Shifted Ellipse**

The vertices of an ellipse are  $(-7, 3)$  and  $(3, 3)$ , and the foci are  $(-6, 3)$  and  $(2, 3)$ . Find the equation for the ellipse, and sketch its graph.

**SOLUTION** The center of the ellipse is the midpoint of the line segment between the vertices. By the Midpoint Formula the center is

$$\left( \frac{-7 + 3}{2}, \frac{3 + 3}{2} \right) = (-2, 3) \quad \text{Center}$$

Since the vertices lie on a horizontal line, the major axis is horizontal. The length of the major axis is  $3 - (-7) = 10$ , so  $a = 5$ . The distance between the foci is  $2 - (-6) = 8$ , so  $c = 4$ . Since  $c^2 = a^2 - b^2$ , we have

$$\begin{aligned} 4^2 &= 5^2 - b^2 && c = 4, a = 5 \\ b^2 &= 25 - 16 = 9 && \text{Solve for } b^2 \end{aligned}$$

Thus the equation of the ellipse is

$$\frac{(x + 2)^2}{25} + \frac{(y - 3)^2}{9} = 1 \quad \text{Equation of shifted ellipse}$$

The graph is shown in Figure 3.

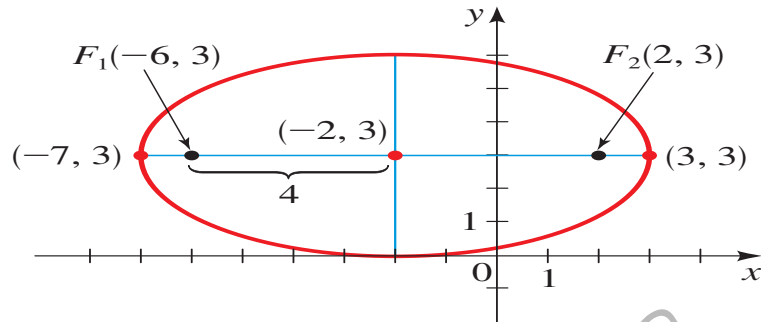


FIGURE 3 Graph of  $\frac{(x + 2)^2}{25} + \frac{(y - 3)^2}{9} = 1$

### ■ Shifted Parabolas

Applying shifts to parabolas leads to the equations and graphs shown in Figure 4.

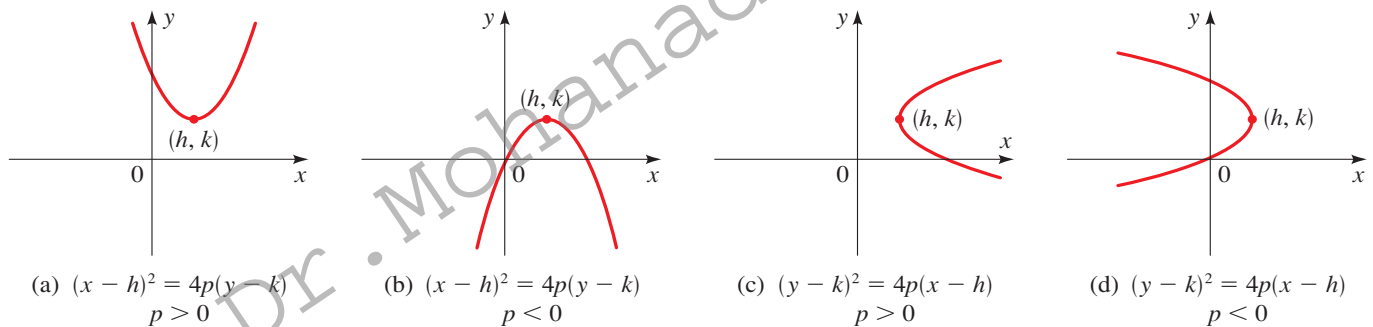


FIGURE 4 Shifted parabolas

### EXAMPLE 3 ■ Graphing a Shifted Parabola

Determine the vertex, focus, and directrix, and sketch a graph of the parabola.

$$x^2 - 4x = 8y - 28$$

**SOLUTION** We complete the square in  $x$  to put this equation into one of the forms in Figure 4.

$$\begin{aligned} x^2 - 4x + 4 &= 8y - 28 + 4 && \text{Add 4 to complete the square} \\ (x - 2)^2 &= 8y - 24 && \text{Perfect square} \\ (x - 2)^2 &= 8(y - 3) && \text{Shifted parabola} \end{aligned}$$

This parabola opens upward with vertex at (2, 3). It is obtained from the parabola

$$x^2 = 8y \quad \text{Parabola with vertex at origin}$$

by shifting right 2 units and upward 3 units. Since  $4p = 8$ , we have  $p = 2$ , so the focus is 2 units above the vertex and the directrix is 2 units below the vertex. Thus the focus is (2, 5), and the directrix is  $y = 1$ . The graph is shown in Figure 5.

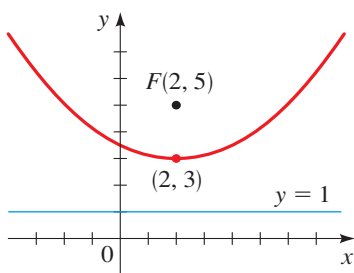


FIGURE 5  $x^2 - 4x = 8y - 28$

## ■ Shifted Hyperbolas

Applying shifts to hyperbolas leads to the equations and graphs shown in Figure 6.

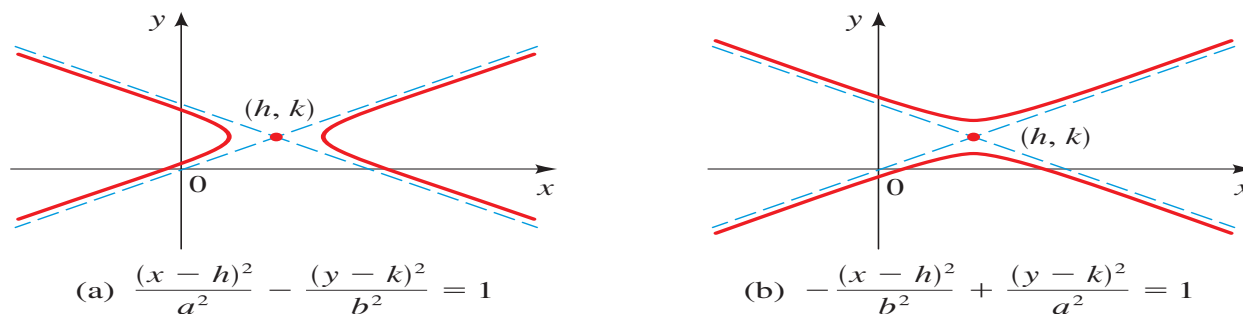


FIGURE 6 Shifted hyperbolas

### EXAMPLE 4 ■ Graphing a Shifted Hyperbola

A shifted conic has the equation

$$9x^2 - 72x - 16y^2 - 32y = 16$$

- Complete the square in  $x$  and  $y$  to show that the equation represents a hyperbola.
- Find the center, vertices, foci, and asymptotes of the hyperbola, and sketch its graph.
- Draw the graph using a graphing calculator.

#### SOLUTION

(a) We complete the squares in both  $x$  and  $y$ .

$$9(x^2 - 8x \quad ) - 16(y^2 + 2y \quad ) = 16 \quad \text{Group terms and factor}$$

$$9(x^2 - 8x + 16) - 16(y^2 + 2y + 1) = 16 + 9 \cdot 16 - 16 \cdot 1 \quad \text{Complete the squares}$$

$$9(x - 4)^2 - 16(y + 1)^2 = 144 \quad \text{Divide this by 144}$$

$$\frac{(x - 4)^2}{16} - \frac{(y + 1)^2}{9} = 1 \quad \text{Shifted hyperbola}$$

Comparing this to Figure 6(a), we see that this is the equation of a shifted hyperbola.

- (b) The shifted hyperbola has center  $(4, -1)$  and a horizontal transverse axis.

$$\text{CENTER} \quad (4, -1)$$

Its graph will have the same shape as the unshifted hyperbola

$$\frac{x^2}{16} - \frac{y^2}{9} = 1 \quad \text{Hyperbola with center at origin}$$

Since  $a^2 = 16$  and  $b^2 = 9$ , we have  $a = 4$ ,  $b = 3$ , and  $c = \sqrt{a^2 + b^2} = \sqrt{16 + 9} = 5$ . Thus the foci lie 5 units to the left and to the right of the center, and the vertices lie 4 units to either side of the center.

$$\text{FOCI} \quad (-1, -1) \quad \text{and} \quad (9, -1)$$

$$\text{VERTICES} \quad (0, -1) \quad \text{and} \quad (8, -1)$$

The asymptotes of the unshifted hyperbola are  $y = \pm \frac{3}{4}x$ , so the asymptotes of the shifted hyperbola are found as follows.

$$\text{ASYMPTOTES} \quad y + 1 = \pm \frac{3}{4}(x - 4)$$

$$y + 1 = \pm \frac{3}{4}x \mp 3$$

$$y = \frac{3}{4}x - 4 \quad \text{and} \quad y = -\frac{3}{4}x + 2$$

To help us sketch the hyperbola, we draw the central box; it extends 4 units left and right from the center and 3 units upward and downward from the center. We then draw the asymptotes and complete the graph of the shifted hyperbola as shown in Figure 7(a).

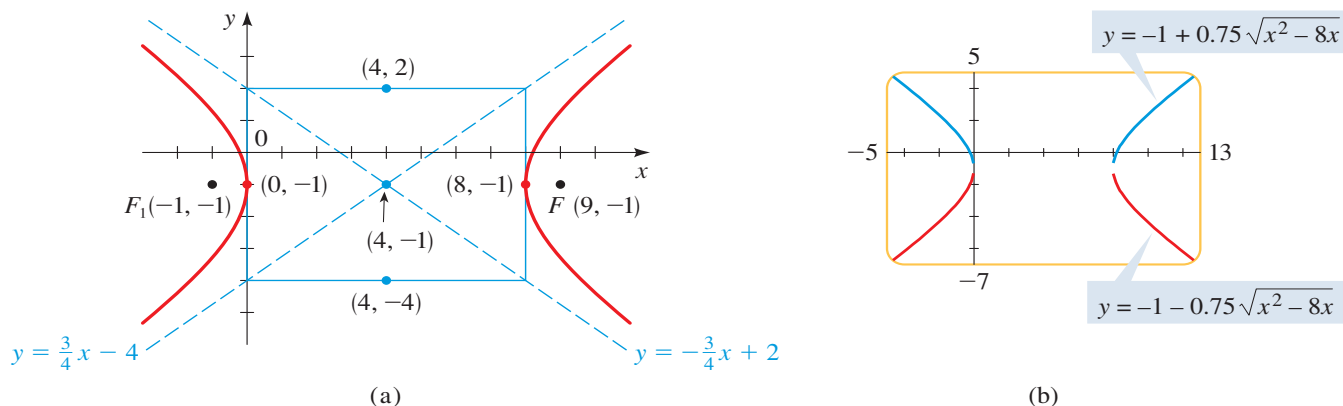


FIGURE 7  $9x^2 - 72x - 16y^2 - 32y = 16$

- (c) To draw the graph using a graphing calculator, we need to solve for  $y$ . The given equation is a quadratic equation in  $y$ , so we use the Quadratic Formula to solve for  $y$ . Writing the equation in the form

$$16y^2 + 32y - 9x^2 + 72x + 16 = 0$$

we get

$$\begin{aligned}
 y &= \frac{-32 \pm \sqrt{32^2 - 4(16)(-9x^2 + 72x + 16)}}{2(16)} && \text{Quadratic Formula} \\
 &= \frac{-32 \pm \sqrt{576x^2 - 4608x}}{32} && \text{Expand} \\
 &= \frac{-32 \pm 24\sqrt{x^2 - 8x}}{32} && \text{Factor 576 from under the radical} \\
 &= -1 \pm \frac{3}{4}\sqrt{x^2 - 8x} && \text{Simplify}
 \end{aligned}$$

To obtain the graph of the hyperbola, we graph the functions

$$y = -1 + 0.75\sqrt{x^2 - 8x}$$

and

$$y = -1 - 0.75\sqrt{x^2 - 8x}$$

as shown in Figure 7(b).

### ■ The General Equation of a Shifted Conic

If we expand and simplify the equations of any of the shifted conics illustrated in Figures 1, 4, and 6, then we will always obtain an equation of the form

$$Ax^2 + Cy^2 + Dx + Ey + F = 0$$

where  $A$  and  $C$  are not both 0. Conversely, if we begin with an equation of this form, then we can complete the square in  $x$  and  $y$  to see which type of conic section the equation represents. In some cases the graph of the equation turns out to be just a pair of lines or a single point, or there might be no graph at all. These cases are called **degenerate conics**. If the equation is not degenerate, then we can tell whether it represents a parabola, an ellipse, or a hyperbola simply by examining the signs of  $A$  and  $C$ , as described in the following box.

### GENERAL EQUATION OF A SHIFTED CONIC

The graph of the equation

$$Ax^2 + Cy^2 + Dx + Ey + F = 0$$

where  $A$  and  $C$  are not both 0, is a conic or a degenerate conic. In the nondegenerate cases the graph is

1. a parabola if  $A$  or  $C$  is 0,
2. an ellipse if  $A$  and  $C$  have the same sign (or a circle if  $A = C$ ),
3. a hyperbola if  $A$  and  $C$  have opposite signs.

### EXAMPLE 5 ■ An Equation That Leads to a Degenerate Conic

Sketch the graph of the equation

$$9x^2 - y^2 + 18x + 6y = 0$$

**SOLUTION** Because the coefficients of  $x^2$  and  $y^2$  are of opposite sign, this equation looks as if it should represent a hyperbola (like the equation of Example 4). To see whether this is in fact the case, we complete the squares.

$$9(x^2 + 2x \quad) - (y^2 - 6y \quad) = 0 \quad \text{Group terms and factor 9}$$

$$9(x^2 + 2x + 1) - (y^2 - 6y + 9) = 0 + 9 \cdot 1 - 9 \quad \text{Complete the squares}$$

$$9(x + 1)^2 - (y - 3)^2 = 0 \quad \text{Factor}$$

$$(x + 1)^2 - \frac{(y - 3)^2}{9} = 0 \quad \text{Divide by 9}$$

For this to fit the form of the equation of a hyperbola, we would need a nonzero constant to the right of the equal sign. In fact, further analysis shows that this is the equation of a pair of intersecting lines.

$$(y - 3)^2 = 9(x + 1)^2$$

$$y - 3 = \pm 3(x + 1) \quad \text{Take square roots}$$

$$y = 3(x + 1) + 3 \quad \text{or} \quad y = -3(x + 1) + 3$$

$$y = 3x + 6 \quad \quad \quad y = -3x$$

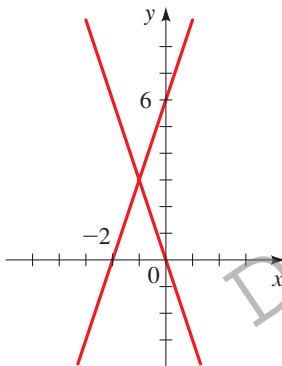


FIGURE 8

$$9x^2 - y^2 + 18x + 6y = 0$$

These lines are graphed in Figure 8.

Because the equation in Example 5 looked at first glance like the equation of a hyperbola but, in fact, turned out to represent simply a pair of lines, we refer to its graph as a **degenerate hyperbola**. Degenerate ellipses and parabolas can also arise when we complete the square(s) in an equation that seems to represent a conic. For example, the equation

$$4x^2 + y^2 - 8x + 2y + 6 = 0$$

looks as if it should represent an ellipse, because the coefficients of  $x^2$  and  $y^2$  have the same sign. But completing the squares leads to

$$(x - 1)^2 + \frac{(y + 1)^2}{4} = -\frac{1}{4}$$

which has no solution at all (since the sum of two squares cannot be negative). This equation is therefore degenerate.

# 1.5 ROTATION OF AXES

■ Rotation of Axes ■ General Equation of a Conic ■ The Discriminant

In Section 1.4 we studied conics with equations of the form

$$Ax^2 + Cy^2 + Dx + Ey + F = 0$$

We saw that the graph is always an ellipse, parabola, or hyperbola with horizontal or vertical axes (except in the degenerate cases). In this section we study the most general second-degree equation

$$Ax^2 + Bxy + Cy^2 + Dx + Ey + F = 0$$

We will see that the graph of an equation of this form is also a conic. In fact, by rotating the coordinate axes through an appropriate angle, we can eliminate the term  $Bxy$  and then use our knowledge of conic sections to analyze the graph.

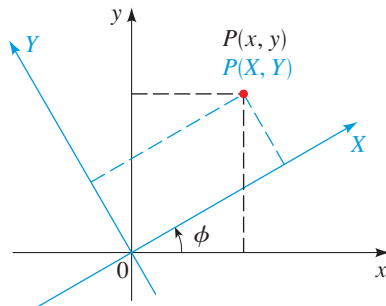


FIGURE 1

## ■ Rotation of Axes

In Figure 1 the  $x$ - and  $y$ -axes have been rotated through an acute angle  $\phi$  about the origin to produce a new pair of axes, which we call the  $X$ - and  $Y$ -axes. A point  $P$  that has coordinates  $(x, y)$  in the old system has coordinates  $(X, Y)$  in the new system. If we let  $r$  denote the distance of  $P$  from the origin and let  $\theta$  be the angle that the segment  $OP$

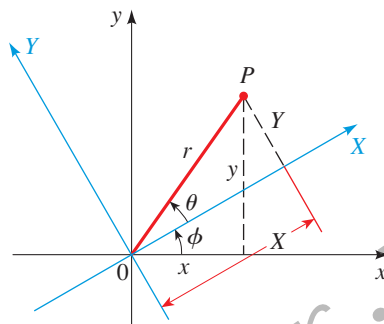


FIGURE 2

makes with the new  $X$ -axis, then we can see from Figure 2 (by considering the two right triangles in the figure) that

$$\begin{aligned} X &= r \cos \theta & Y &= r \sin \theta \\ x &= r \cos(\theta + \phi) & y &= r \sin(\theta + \phi) \end{aligned}$$

Using the Addition Formula for Cosine, we see that

$$\begin{aligned} x &= r \cos(\theta + \phi) \\ &= r(\cos \theta \cos \phi - \sin \theta \sin \phi) \\ &= (r \cos \theta) \cos \phi - (r \sin \theta) \sin \phi \\ &= X \cos \phi - Y \sin \phi \end{aligned}$$

Similarly, we can apply the Addition Formula for Sine to the expression for  $y$  to obtain  $y = X \sin \phi + Y \cos \phi$ . By treating these equations for  $x$  and  $y$  as a system of linear equations in the variables  $X$  and  $Y$ , we obtain expressions for  $X$  and  $Y$  in terms of  $x$  and  $y$ , as detailed in the following box.

### ROTATION OF AXES FORMULAS

Suppose the  $x$ - and  $y$ -axes in a coordinate plane are rotated through the acute angle  $\phi$  to produce the  $X$ - and  $Y$ -axes, as shown in Figure 1. Then the coordinates  $(x, y)$  and  $(X, Y)$  of a point in the  $xy$ - and the  $XY$ -planes are related as follows.

$$\begin{aligned} x &= X \cos \phi - Y \sin \phi & X &= x \cos \phi + y \sin \phi \\ y &= X \sin \phi + Y \cos \phi & Y &= -x \sin \phi + y \cos \phi \end{aligned}$$

**EXAMPLE 1 ■ Rotation of Axes**

If the coordinate axes are rotated through  $30^\circ$ , find the  $XY$ -coordinates of the point with  $xy$ -coordinates  $(2, -4)$ .

**SOLUTION** Using the Rotation of Axes Formulas with  $x = 2$ ,  $y = -4$ , and  $\phi = 30^\circ$ , we get

$$X = 2 \cos 30^\circ + (-4) \sin 30^\circ = 2\left(\frac{\sqrt{3}}{2}\right) - 4\left(\frac{1}{2}\right) = \sqrt{3} - 2$$

$$Y = -2 \sin 30^\circ + (-4) \cos 30^\circ = -2\left(\frac{1}{2}\right) - 4\left(\frac{\sqrt{3}}{2}\right) = -1 - 2\sqrt{3}$$

The  $XY$ -coordinates are  $(-2 + \sqrt{3}, -1 - 2\sqrt{3})$ .

**EXAMPLE 2 ■ Rotating a Hyperbola**

Rotate the coordinate axes through  $45^\circ$  to show that the graph of the equation  $xy = 2$  is a hyperbola.

**SOLUTION** We use the Rotation of Axes Formulas with  $\phi = 45^\circ$  to obtain

$$x = X \cos 45^\circ - Y \sin 45^\circ = \frac{X}{\sqrt{2}} - \frac{Y}{\sqrt{2}}$$

$$y = X \sin 45^\circ + Y \cos 45^\circ = \frac{X}{\sqrt{2}} + \frac{Y}{\sqrt{2}}$$

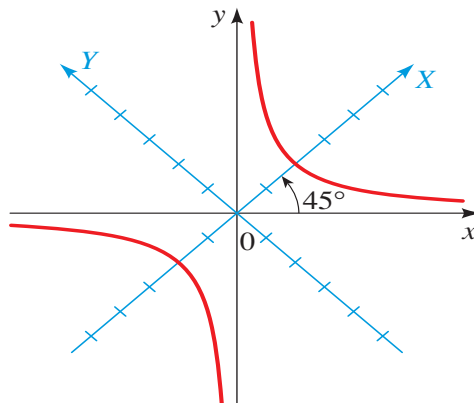
Substituting these expressions into the original equation gives

$$\left(\frac{X}{\sqrt{2}} - \frac{Y}{\sqrt{2}}\right)\left(\frac{X}{\sqrt{2}} + \frac{Y}{\sqrt{2}}\right) = 2$$

$$\frac{X^2}{2} - \frac{Y^2}{2} = 2$$

$$\frac{X^2}{4} - \frac{Y^2}{4} = 1$$

We recognize this as a hyperbola with vertices  $(\pm 2, 0)$  in the  $XY$ -coordinate system. Its asymptotes are  $Y = \pm X$ , which correspond to the coordinate axes in the  $xy$ -system (see Figure 3).



**FIGURE 3**  
 $xy = 2$

## ■ General Equation of a Conic

The method of Example 2 can be used to transform any equation of the form

$$Ax^2 + Bxy + Cy^2 + Dx + Ey + F = 0$$

into an equation in  $X$  and  $Y$  that doesn't contain an  $XY$ -term by choosing an appropriate angle of rotation. To find the angle that works, we rotate the axes through an angle  $\phi$  and substitute for  $x$  and  $y$  using the Rotation of Axes Formulas.

$$\begin{aligned} A(X \cos \phi - Y \sin \phi)^2 + B(X \cos \phi - Y \sin \phi)(X \sin \phi + Y \cos \phi) \\ + C(X \sin \phi + Y \cos \phi)^2 + D(X \cos \phi - Y \sin \phi) \\ + E(X \sin \phi + Y \cos \phi) + F = 0 \end{aligned}$$

If we expand this and collect like terms, we obtain an equation of the form

$$A'X^2 + B'XY + C'Y^2 + D'X + E'Y + F' = 0$$

where

$$\begin{aligned} A' &= A \cos^2 \phi + B \sin \phi \cos \phi + C \sin^2 \phi \\ B' &= 2(C - A) \sin \phi \cos \phi + B(\cos^2 \phi - \sin^2 \phi) \\ C' &= A \sin^2 \phi - B \sin \phi \cos \phi + C \cos^2 \phi \\ D' &= D \cos \phi + E \sin \phi \\ E' &= -D \sin \phi + E \cos \phi \\ F' &= F \end{aligned}$$

To eliminate the  $XY$ -term, we would like to choose  $\phi$  so that  $B' = 0$ , that is,

### Double-Angle Formulas

$$\begin{aligned} \sin 2\phi &= 2 \sin \phi \cos \phi \\ \cos 2\phi &= \cos^2 \phi - \sin^2 \phi \end{aligned}$$

$$2(C - A) \sin \phi \cos \phi + B(\cos^2 \phi - \sin^2 \phi) = 0$$

$$(C - A) \sin 2\phi + B \cos 2\phi = 0$$

$$B \cos 2\phi = (A - C) \sin 2\phi$$

$$\cot 2\phi = \frac{A - C}{B}$$

Double-Angle Formulas  
for Sine and Cosine

Divide by  $B \sin 2\phi$

The preceding calculation proves the following theorem.

### SIMPLIFYING THE GENERAL CONIC EQUATION

To eliminate the  $xy$ -term in the general conic equation

$$Ax^2 + Bxy + Cy^2 + Dx + Ey + F = 0$$

rotate the axes through the acute angle  $\phi$  that satisfies

$$\cot 2\phi = \frac{A - C}{B}$$

**EXAMPLE 3 ■ Eliminating the  $xy$ -Term**

Use a rotation of axes to eliminate the  $xy$ -term in the equation

$$6\sqrt{3}x^2 + 6xy + 4\sqrt{3}y^2 = 21\sqrt{3}$$

Identify and sketch the curve.

**SOLUTION** To eliminate the  $xy$ -term, we rotate the axes through an angle  $\phi$  that satisfies

$$\cot 2\phi = \frac{A - C}{B} = \frac{6\sqrt{3} - 4\sqrt{3}}{6} = \frac{\sqrt{3}}{3}$$

Thus  $2\phi = 60^\circ$ , and hence  $\phi = 30^\circ$ . With this value of  $\phi$  we get

$$x = X\left(\frac{\sqrt{3}}{2}\right) - Y\left(\frac{1}{2}\right) \quad \text{Rotation of Axes Formulas}$$

$$y = X\left(\frac{1}{2}\right) + Y\left(\frac{\sqrt{3}}{2}\right) \quad \cos \phi = \frac{\sqrt{3}}{2}, \sin \phi = \frac{1}{2}$$

Substituting these values for  $x$  and  $y$  into the given equation leads to

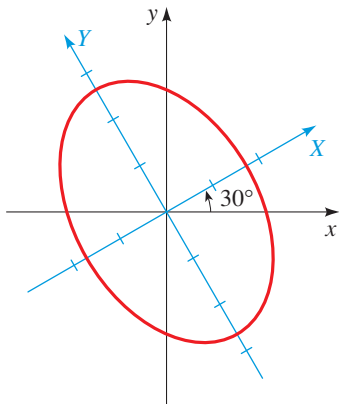
$$6\sqrt{3}\left(\frac{X\sqrt{3}}{2} - \frac{Y}{2}\right)^2 + 6\left(\frac{X\sqrt{3}}{2} - \frac{Y}{2}\right)\left(\frac{X}{2} + \frac{Y\sqrt{3}}{2}\right) + 4\sqrt{3}\left(\frac{X}{2} + \frac{Y\sqrt{3}}{2}\right)^2 = 21\sqrt{3}$$

Expanding and collecting like terms, we get

$$7\sqrt{3}X^2 + 3\sqrt{3}Y^2 = 21\sqrt{3}$$

$$\frac{X^2}{3} + \frac{Y^2}{7} = 1 \quad \text{Divide by } 21\sqrt{3}$$

This is the equation of an ellipse in the  $XY$ -coordinate system. The foci lie on the  $Y$ -axis. Because  $a^2 = 7$  and  $b^2 = 3$ , the length of the major axis is  $2\sqrt{7}$ , and the length of the minor axis is  $2\sqrt{3}$ . The ellipse is sketched in Figure 4.



**FIGURE 4**  
 $6\sqrt{3}x^2 + 6xy + 4\sqrt{3}y^2 = 21\sqrt{3}$

In the preceding example we were able to determine  $\phi$  without difficulty, since we remembered that  $\cot 60^\circ = \sqrt{3}/3$ . In general, finding  $\phi$  is not quite so easy. The next example illustrates how the following Half-Angle Formulas, which are valid for  $0 < \phi < \pi/2$ , are useful in determining  $\phi$  (see Section 7.3).

$$\cos \phi = \sqrt{\frac{1 + \cos 2\phi}{2}} \quad \sin \phi = \sqrt{\frac{1 - \cos 2\phi}{2}}$$

**EXAMPLE 4 ■ Graphing a Rotated Conic**

A conic has the equation

$$64x^2 + 96xy + 36y^2 - 15x + 20y - 25 = 0$$

- (a) Use a rotation of axes to eliminate the  $xy$ -term.
- (b) Identify and sketch the graph.
- (c) Draw the graph using a graphing calculator.

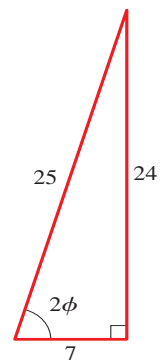
**SOLUTION**

- (a) To eliminate the  $xy$ -term, we rotate the axes through an angle  $\phi$  that satisfies

$$\cot 2\phi = \frac{A - C}{B} = \frac{64 - 36}{96} = \frac{7}{24}$$

In Figure 5 we sketch a triangle with  $\cot 2\phi = \frac{7}{24}$ . We see

$$\text{that } \cos 2\phi = \frac{7}{25}$$



**FIGURE 5**

so, using the Half-Angle Formulas, we get

$$\cos \phi = \sqrt{\frac{1 + \frac{7}{25}}{2}} = \sqrt{\frac{16}{25}} = \frac{4}{5}$$

$$\sin \phi = \sqrt{\frac{1 - \frac{7}{25}}{2}} = \sqrt{\frac{9}{25}} = \frac{3}{5}$$

The Rotation of Axes Formulas then give

$$x = \frac{4}{5}X - \frac{3}{5}Y \quad \text{and} \quad y = \frac{3}{5}X + \frac{4}{5}Y$$

Substituting into the given equation, we have

$$64\left(\frac{4}{5}X - \frac{3}{5}Y\right)^2 + 96\left(\frac{4}{5}X - \frac{3}{5}Y\right)\left(\frac{3}{5}X + \frac{4}{5}Y\right) + 36\left(\frac{3}{5}X + \frac{4}{5}Y\right)^2 - 15\left(\frac{4}{5}X - \frac{3}{5}Y\right) + 20\left(\frac{3}{5}X + \frac{4}{5}Y\right) - 25 = 0$$

Expanding and collecting like terms, we get

$$100X^2 + 25Y - 25 = 0$$

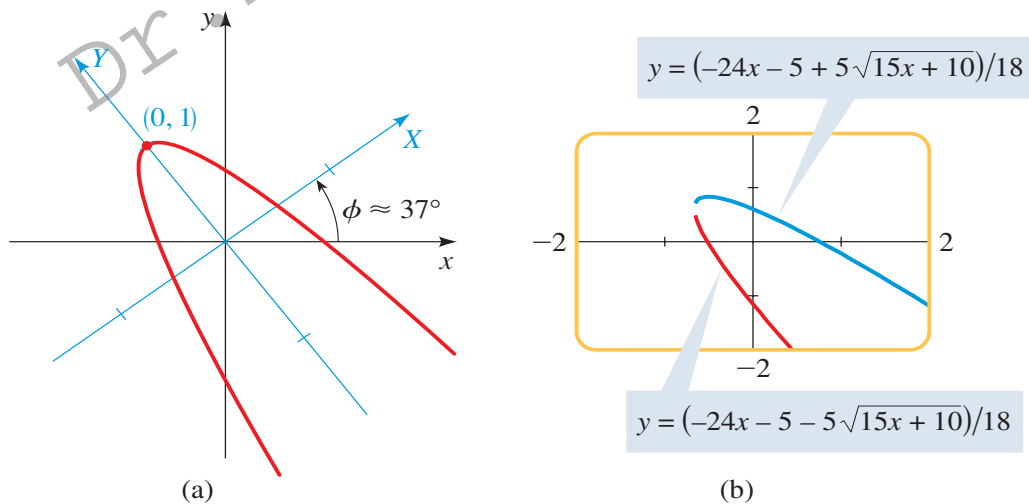
$$4X^2 = -Y + 1 \quad \text{Simplify}$$

$$X^2 = -\frac{1}{4}(Y - 1) \quad \text{Divide by 4}$$

- (b) We recognize this as the equation of a parabola that opens along the negative  $Y$ -axis and has vertex  $(0, 1)$  in  $XY$ -coordinates. Since  $4p = -\frac{1}{4}$ , we have  $p = -\frac{1}{16}$ , so the focus is  $(0, \frac{15}{16})$  and the directrix is  $Y = \frac{17}{16}$ . Using

$$\phi = \cos^{-1} \frac{4}{5} \approx 37^\circ$$

we sketch the graph in Figure 6(a).



**FIGURE 6**  
 $64x^2 + 96xy + 36y^2 - 15x + 20y - 25 = 0$

- (c) To draw the graph using a graphing calculator, we need to solve for  $y$ . The given equation is a quadratic equation in  $y$ , so we can use the Quadratic Formula to solve for  $y$ . Writing the equation in the form

$$36y^2 + (96x + 20)y + (64x^2 - 15x - 25) = 0$$

we get

$$y = \frac{-(96x + 20) \pm \sqrt{(96x + 20)^2 - 4(36)(64x^2 - 15x - 25)}}{2(36)} \quad \text{Quadratic Formula}$$

$$= \frac{-(96x + 20) \pm \sqrt{6000x + 4000}}{72} \quad \text{Expand}$$

$$= \frac{-96x - 20 \pm 20\sqrt{15x + 10}}{72} \quad \text{Simplify}$$

$$= \frac{-24x - 5 \pm 5\sqrt{15x + 10}}{18} \quad \text{Simplify}$$

To obtain the graph of the parabola, we graph the functions

$$y = (-24x - 5 + 5\sqrt{15x + 10})/18 \quad \text{and} \quad y = (-24x - 5 - 5\sqrt{15x + 10})/18$$

as shown in Figure 6(b).

## ■ The Discriminant

In Examples 3 and 4 we were able to identify the type of conic by rotating the axes. The next theorem gives rules for identifying the type of conic directly from the equation, without rotating axes.

### IDENTIFYING CONICS BY THE DISCRIMINANT

The graph of the equation

$$Ax^2 + Bxy + Cy^2 + Dx + Ey + F = 0$$

is either a conic or a degenerate conic. In the nondegenerate cases the graph is

1. a parabola if  $B^2 - 4AC = 0$ ,
2. an ellipse if  $B^2 - 4AC < 0$ ,
3. a hyperbola if  $B^2 - 4AC > 0$ .

The quantity  $B^2 - 4AC$  is called the **discriminant** of the equation.

**EXAMPLE 5 ■ Identifying a Conic by the Discriminant**

A conic has the equation

$$3x^2 + 5xy - 2y^2 + x - y + 4 = 0$$

- (a) Use the discriminant to identify the conic.  
 (b) Confirm your answer to part (a) by graphing the conic with a graphing calculator.

**SOLUTION**

- (a) Since  $A = 3$ ,  $B = 5$ , and  $C = -2$ , the discriminant is

$$B^2 - 4AC = 5^2 - 4(3)(-2) = 49 > 0$$

So the conic is a hyperbola.

- (b) Using the Quadratic Formula, we solve for  $y$  to get

$$y = \frac{5x - 1 \pm \sqrt{49x^2 - 2x + 33}}{4}$$

We graph these functions in Figure 7. The graph confirms that this is a hyperbola.

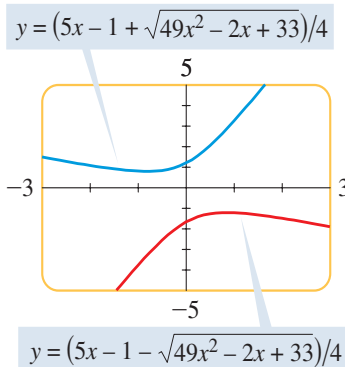


FIGURE 7

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