

What is Theory of Computation?

(Lecture 1)

- Theory of computation is the branch that deals with whether and how efficiently problems can be solved on a model of computation, using an algorithm.
- The field is divided into three major branches: automata theory, computability theory and computational complexity theory.

What is Automata Theory?

- Automata theory is the study of abstract machines and the computational problems that can be solved using these machines.
- **Automaton = an abstract computing devices imply means any machine.**
 - Note: A “device” need not even be a physical hardware!
 - It has a mechanism to read input (string over a given alphabet, e.g. strings of 0’s and 1’s on $S = \{0,1\}$) written on an input file.
- An **abstract machine**, also called an **abstract computer**, is a theoretical model of a computer hardware or software system used in Automata theory.
- This automaton consists of:
 - States (represented in the figure by circles).
 - Transitions (represented by arrows).

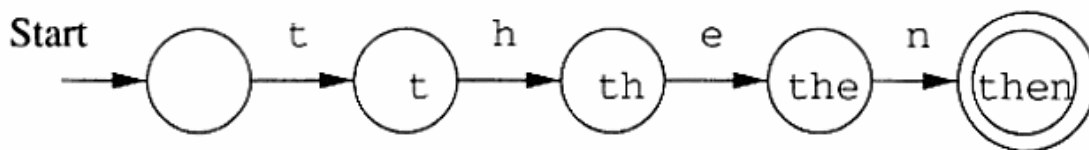


Figure 1.2: A finite automaton modeling recognition of **then**

- As the automaton sees a symbol of input, it makes a *transition* (or *jump*) to another state,
- According to its *transition function* (which takes the current state and the recent symbol as its inputs).
- Uses of Automata: **compiler design and parsing**.

Languages & Grammars

- **Languages:** “A language is a collection of sentences of finite length all constructed from a finite alphabet of symbols”.
- **Grammars:** “A grammar can be regarded as a device that enumerates the sentences of a language” - nothing more, nothing less.

Languages

- An alphabet Σ is a finite set of symbols.

- Example: Common alphabets include the binary alphabet $\{0, 1\}$, the English alphabet $\{A, B \dots Z, a, b \dots z\}$.
- A language is a set of strings
 - String: A sequence of letters
 - Examples: “cat”, “dog”, “house” ...
 - Defined over an alphabet:

$$\Sigma = \{a, b, c, \dots, z\}$$
 - The alphabet of a language is normally denoted by Σ .
 - The set of strings, including empty, over an alphabet Σ is denoted by Σ^* .
 - $\Sigma^+ = \Sigma^* - \{\epsilon\}$

Alphabets and Strings

- **Example of alphabet:**
 - 0110, 11, 001 are three strings over the binary alphabet $\{0, 1\}$.
 - aab, abcb, b, cc are four strings over the alphabet $\{a, b, c\}$.
- Strings

<i>a</i>	
<i>ab</i>	
<i>abba</i>	$u = ab$
<i>baba</i>	$v = bbbaaa$
<i>aaabbbaabab</i>	$w = abba$

String Operations

$w = a_1 a_2 \dots a_n$	<i>abba</i>
$v = b_1 b_2 \dots b_m$	<i>bbbaaa</i>

- Concatenation

$wv = a_1 a_2 \dots a_n b_1 b_2 \dots b_m$	<i>abbabbbaaa</i>
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$$w = a_1 a_2 \cdots a_n$$

ababaaabbb

– **Reverse**

$$w^R = a_n \cdots a_2 a_1$$

bbbaaababa

– **String Length**

$$w = a_1 a_2 \cdots a_n$$

$$\text{Length: } |w| = n$$

Examples:

$$|abba| = 4$$

$$|aa| = 2$$

$$|a| = 1$$

– **Length of Concatenation**

$$|uv| = |u| + |v|$$

Example:

$$u = aab, \quad |u| = 3$$

$$v = abaab, \quad |v| = 5$$

$$|uv| = |aababaab| = 8$$

$$|uv| = |u| + |v| = 3 + 5 = 8$$

– **Empty String**

- A string with no letters: λ
- Observations:

$$|\lambda| = 0$$

$$\lambda w = w \lambda = w$$

$$\lambda abba = abba \lambda = abba$$

- Substring

- Substring of string:
 - a subsequence of consecutive characters

String	Substring
<u>ab</u> bab	ab
ab <u>ba</u> b	abba
ab <u>b</u> ab	b
ab <u>bab</u>	bbab

- Prefix and Suffix

abbab		
Prefixes	Suffixes	
λ	abbab	$w = uv$ ↙ ↘ prefix suffix
a	bbab	
ab	bab	
abb	ab	
abba	b	
abbab	λ	

- Another Operation

$$w^n = \underbrace{ww \cdots w}_n$$

Example: $(abba)^2 = abbaabba$

Definition: $w^0 = \lambda$

$$(abba)^0 = \lambda$$

- The * Operation

Σ^* : the set of all possible strings from alphabet Σ

$$\Sigma = \{a, b\}$$

$$\Sigma^* = \{\lambda, a, b, aa, ab, ba, bb, aaa, aab, \dots\}$$

- The + Operation

Σ^+ : the set of all possible strings from alphabet Σ except λ

$$\Sigma = \{a, b\}$$

$$\Sigma^* = \{\lambda, a, b, aa, ab, ba, bb, aaa, aab, \dots\}$$

$$\Sigma^+ = \Sigma^* - \lambda$$

$$\Sigma^+ = \{a, b, aa, ab, ba, bb, aaa, aab, \dots\}$$

Languages

- Languages-A set of strings which are chosen from some Σ^* , where Σ is a particular alphabet, is called a language.
 - If Σ is an alphabet, and L subset of Σ^* , then L is said to be language over alphabet Σ .
 - For *example* the language of all strings consisting of n 0's followed by n 1's for some $n \geq 0$: $\{\epsilon, 01, 0011, 000111, \dots\}$
- Another definition of language: A set of letters that called Alphabet. This can be seen in any natural language, for example the alphabet of English can be defined as:

$$E = \{a, b, \dots, z\}$$

- By concatenate letters from alphabet, we get words.
- All words from the alphabet make language.
- Language can be classified into two types as follows:
 - Natural Languages
 - Formal Languages
- As in the natural language not all concatenations make permissible words, the same things happen with the formal languages.

Note: formal language deals with form not meaning.

Note: alphabet could be a set of an empty set (or null string) which is a string of no letters.

☒ **Alphabet: finite**

☒ **Words: finite**

☒ **Language: infinite**

A language is any subset of Σ^*

Example: $\Sigma = \{a, b\}$

$\Sigma^* = \{\lambda, a, b, aa, ab, ba, bb, aaa, \dots\}$

Languages: $\{\lambda\}$

$\{a, aa, aab\}$

$\{\lambda, abba, baba, aa, ab, aaaaaa\}$

Note that:

Sets $\emptyset = \{\} \neq \{\lambda\}$

Set size $|\{\}\| = |\emptyset| = 0$

Set size $|\{\lambda\}| = 1$

String length $|\lambda| = 0$

- Another Example

An infinite language $L = \{a^n b^n : n \geq 0\}$

$\left. \begin{array}{l} \lambda \\ ab \\ aabb \\ aaaaabbbb \end{array} \right\} \in L \quad abb \notin L$

Definition (Regular Language): Let Σ be an alphabet. The following are precisely the regular languages over Σ :

- The empty language \emptyset ; is regular.
- For each $a \in \Sigma$, $\{a\}$ is regular.
- Let L_1, L_2 be regular languages over Σ . Then $L_1 \cup L_2$; $L_1 \cdot L_2$, and L_1^* are all regular.

Remark: The operation \cdot is string concatenation. Formally, $L_1 \cdot L_2 = \{xy : x \in L_1; y \in L_2\}$.

– Operations on Languages

The usual set operations

$$\{a, ab, aaaa\} \cup \{bb, ab\} = \{a, ab, bb, aaaa\}$$

$$\{a, ab, aaaa\} \cap \{bb, ab\} = \{ab\}$$

$$\{a, ab, aaaa\} - \{bb, ab\} = \{a, aaaa\}$$

Complement: $L = \Sigma^* - L$

$$\overline{\{a, ba\}} = \{\lambda, b, aa, ab, bb, aaa, \dots\}$$

– Reverse

Definition: $L^R = \{w^R : w \in L\}$

Examples: $\{ab, aab, baba\}^R = \{ba, baa, abab\}$

$$L = \{a^n b^n : n \geq 0\}$$

$$L^R = \{b^n a^n : n \geq 0\}$$

– Concatenation

Definition: $L_1 L_2 = \{xy : x \in L_1, y \in L_2\}$

Example:

$$\{a, ab, ba\} \{b, aa\}$$

$$= \{ab, aaa, abb, abaa, bab, baaa\}$$

– Another Operation

Definition: $L^n = \underbrace{LL \dots L}_n$

$$\{a, b\}^3 = \{a, b\} \{a, b\} \{a, b\} =$$

$$\{aaa, aab, aba, abb, baa, bab, bba, bbb\}$$

Special case: $L^0 = \{\lambda\}$

$$\{a, bba, aaa\}^0 = \{\lambda\}$$

– **Palindrome** : is a language that = $\{\Lambda, \text{all strings } x \text{ such that } \text{reverse}(x) = x\}$

Example aba, aabaa, bab, bbb...

– **More Examples**

$$L = \{a^n b^n : n \geq 0\}$$

$$L^2 = \{a^n b^n a^m b^m : n, m \geq 0\}$$

$$aabbbaaabb \in L^2$$

– **Star-Closure (Kleene *)**

Definition: $L^* = L^0 \cup L^1 \cup L^2 \dots$

Example:

$$\{a, bb\}^* = \left\{ \begin{array}{l} \lambda, \\ a, bb, \\ aa, abb, bba, bbbb, \\ aaa, aabb, abba, abbbb, \dots \end{array} \right\}$$

Definition Kleene Closure: Let Σ be an alphabet. The Kleene closure of Σ , denoted Σ^* , is the set of all finite strings whose characters all belong to Σ . Formally, $\Sigma^* = \bigcup_{n \in \mathbb{N}} \Sigma^n$. The set $\Sigma^0 = \{\varepsilon\}$, where ε is the empty string.

Note: $\varepsilon = \Lambda = \lambda$ is empty string.

Definition Kleene star * (Kleene Closure): Given an alphabet Σ we wish to define a language in which any string of letters from Σ is a word, even the null string, this language we shall call the closure of the alphabet.

Example if $\Sigma = \{a, b, c\}$

Then $\Sigma^* = \{\Lambda, a, b, c, aa, ab, ac, ba, bb, bc, ca, cb, cc, aaa, aab, aac, bba, bbb, bbc, cca, ccb, ccc, aaaa, aaab, \dots\}$

Let's $S =$ alphabet of language $S^* =$ closure of the alphabet

Example $S = \{x\}$

$S^* = \{\Lambda, x^n \mid n \geq 1\}$

To prove a certain word in the closure language S^* we must show how it can be written as a concatenation of words from the set S .

Example Let $S = \{a, ab\}$

To find if the word $abaab$ is in S^* or not, we can factor it as follows: $(ab)(a)(ab)$

Every factor in this word is a word in S^* so as the whole word $abaab$. In the above example, there is no other way to factor the word that we called unique.

While, sometimes the word can be factored in different ways.

– Positive Closure

Definition: $L^+ = L^1 \cup L^2 \cup \dots$
 $= L^* - \{\lambda\}$

$$\{a, bb\}^+ = \left\{ \begin{array}{l} a, bb, \\ aa, abb, bba, bbbb, \\ aaa, aabb, abba, abbbb, \dots \end{array} \right\}$$